PTO/SB/21 (05-03) Approved for use through 04/30/2003. OMB 0651-0031 U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number Application Number 10/084,414 **TRANSMITTAL** Filing Date February 27, 2002 **FORM** First Named Inventor Joseph A. Kwak Art Unit (to be used for all correspondence after initial filing) 2662 Examiner Name Not Yet Known Attorney Docket Number I-2-0203.1US Total Number of Pages in This Submission **ENCLOSURES** (Check all that apply) After Allowance communication Fee Transmittal Form Drawing(s) to Group Appeal Communication to Board Licensing-related Papers Fee Attached of Appeals and Interferences Appeal Communication to Group Petition (Appeal Notice, Brief, Reply Brief) Amendment/Reply Petition to Convert to a Proprietary Information Provisional Application After Final Power of Attorney, Revocation Status Letter Affidavits/declaration(s) Change of Correspondence Address Other Enclosure(s) (please X Terminal Disclaimer Extension of Time Request Identify below): Form PTO-1449 and Copies of Eighteen (18) Request for Refund Express Abandonment Request Cited References Supplemental Information Disclosure Statement CD, Number of CD(s) Remarks Certified Copy of Priority Document(s) NOV 0 5 2003 Response to Missing Parts/ Incomplete Application nnology Center 2600 Response to Missing Parts under 37 CFR 1.52 or 1.53

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		TO or deposited with the United States Postal Service with for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on
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Reg. No. 46,259

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Signature Date

Frank A. Mazzeo

Volpe and Koenig, P.C.

process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450, DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.



## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

## In the **PATENT APPLICATION** of:

Joseph A. Kwak

**Application No.:** 10/084,414

Confirmation No.: 8154

Filed:

February 27, 2002

For: BASE STATION IMPLEMENTING A PHYSICAL LAYER AUTOMATIC REPEAT

REQUEST

Group:

2662

Examiner:

Not Yet Known

Our File: I-2-0203.1US

Date: October 29, 2003

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Technology Center 2600

## SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT INCLUDING RULE 1.97(e)(1) AND RULE 1.704(d) STATEMENTS

Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

Further to Applicant's Duty of Disclosure pursuant to 37 C.F.R. §1.56, Applicant wishes to bring to the Examiner's attention the material cited on the enclosed Form PTO-1449.

Copies of all of the cited references are enclosed. References BG, BH and BL were cited in a Written Opinion in a counterpart international application.

Pursuant to Rule 1.704(d), the undersigned certifies that references BG, BH and BL in this Supplemental Information Disclosure Statement (SIDS) were cited in a communication from a foreign patent office in a counterpart application, and that this communication was not received by any individual designated in §1.56(c) more than thirty (30) days prior to the filing of the information disclosure statement.

Applicant: Joseph A. Kwak Application No.: 10/084,414

Although not required since no office action has issued yet in the instant application, Applicant hereby makes a statement under 37 C.F.R.1.97(e)(1): the undersigned certifies that references BG, BH and BL contained in this SIDS were first cited in any communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of this SIDS.

It is respectfully requested that the Examiner consider these documents and return an initialed copy of the Form PTO-1449 indicating consideration of the cited materials.

Respectfully submitted,

Joseph A. Kwak

Fronk A Mozzoo

Registration No. 46,259

(215) 568-6400

Volpe and Koenig, P.C. United Plaza, Suite 1600 30 South 17th Street Philadelphia, PA 19103

FAM/sag Enclosures

Sheet \_1\_ of \_1\_

FORM PTO-1449 ATTY. DOCKET NO. SERIAL NO. I-2-0203.1US 10/084,414 U.S. DEPARTMENT OF COMMERCE **APPLICANT** PATENT AND TRADEMARK OFFICE Joseph A. Kwak INFORMATION DISCLOSURE FILING DATE **GROUP** STATEMENT BY APPLICANT February 27, 2002 2662 (Use several sheets if necessary) U.S. PATENT DOCUMENTS EXAMINER FILING DATE IF DOCUMENT NUMBER DATE NAME CLASS SUBCLASS ВА 5 5 9 Jokinen 0 3 6 10/1996 5 7 2 5 7 BB 9 5 03/1998 Gardner et al. 8 2 7 5 6 BC 8 10/1998 Sayeed et al. NOV 0 5 2003 5 8 3 8 2 6 7 11/1998 BD Wang et al. 5 9 BE 8 3 3 8 2 11/1999 **Pauls** Tedhnology Center 2600 5 9 3 Wolf BF 8 3 8 3 11/1999 6 0 6 6 9 2 05/2000 Chow BG 4 6 1 3 0 9 1 8 10/2000 BH Humphrey et al. 6 1 3 6 9 4 10/2000 ВΙ 4 Uebayashi et al. 6 1 3 2 BJ 8 6 10/2000 Ketseoglou 6 BK 1 4 5 1 0 8 11/2000 Ketseoglou BL 6 2 2 2 4 0 04/2001 Scheibel, Jr. et al. FOREIGN PATENT DOCUMENTS TRANSLATION CLASS SUBCLASS DOCUMENT NUMBER DATE COUNTRY YES OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.) DATE CONSIDERED **EXAMINER** 

EXAMINER: Initial if citation considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.



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